

## **FOI\_1697\_2024-25 – FOI Request concerning Trust’s Legal Team**

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Please be advised that this FOI has been assessed and is being exempt under S12 of the FOI Act 2000. The information being requested is not held centrally and would require the investigation of documentation to identify the answers, in addition to the in-depth questions asked, this will take longer the 18 hours allocated to respond to a Freedom of Information Act request.

**Please provide the information about the following:**

- 1. The current structure of Trust's in-house legal team (with structure, job description and banding);**
- 2. Information about the governance related to all legal functions across the Trust:**
  - a) Is/Are there any internal policies/Standard Operating Procedures related to legal functions including: employment law, data protection, claims management, coronial inquests, SENT Tribunal proceedings, Mental Health Tribunal proceedings, family law proceedings, criminal law proceedings, contracts, procurements, complaints, etc.?**
  - b) If there are policies/Standard Operating Procedures, please provide me with the most up to date versions of all of them;**
  - c) Is there any overarching policy related to the functions of in-house legal services within the Trust, governance structure related to this and what are areas of responsibility allocated to the in-house legal services?**

**Coronial Inquests:**

- 1. Number of inquests the Trust has been party to in each financial year between 2015/2016 and 2023/2024**
- 2. Number of inquests the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
- 3. Number of inquests the Trust acted via instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
- 4. Total cost of external legal representation in coronial inquests in each financial year between 2015/2016 and 2023/2024**
- 5. Number of Prevention of Future Deaths Reports received by the Trust in each financial year between 2015/2016 and 2023/2024, with split byu the coroner's area; please provide copy of each PFD report received.**

**Court of Protection Proceedings**

- 1. Number of Court of Protection proceedings in which the Trust was an applicant and/or made a party to, in each financial year between 2015/2016 and 2023/2024**

2. **Number of Court of Protection proceedings in which the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
3. **Number of Court of Protection proceedings in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
4. **Total cost of external legal representation in Court of Protection proceedings in each financial year between 2015/2016 and 2023/2024**
5. **Number of s49 MCA 2005 orders received by the Trust in each financial year between 2015/2016 and 2023/2024, and information whose responsible for all work and responsibilities related to the above within the Trust? Is there any policy/protocol/Standard Operating Procedure related to the above?**
6. **Where does the MCA Lead's function sit within the Trust and what is the interplay between the MCA Lead and in-house legal services?**

**High Court proceedings (inherent jurisdiction):**

6. **Number of High Court (inherent jurisdiction) proceedings in which the Trust acted as an applicant or was made a party to in each financial year between 2015/2016 and 2023/2024**
7. **Number of High Court (inherent jurisdiction) proceedings in which the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
8. **16 Number of High Court (inherent jurisdiction) proceedings in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
9. **Total cost of external legal representation in High Court (inherent jurisdiction) proceedings in each financial year between 2015/2016 and 2023/2024**

**High Court proceedings (judicial reviews):**

10. **Number of High Court (judicial reviews) cases at the pre-action protocol stage, which did not result in an application being lodged, in which the Trust was named as a defendant in each financial year between 2015/2016 and 2023/2024;**
11. **Number of High Court (judicial reviews) cases at the pre-action protocol stage, which did not result in an application being lodged, in which the Trust was not formally named as a defendant, but required input from Trust's legal services/external solicitor, due to the duties performed on behalf of particular Local Authority, in each financial year between 2015/2016 and 2023/2024 (please provide split by Local Authority);**
12. **Number of High Court (judicial reviews) cases at the pre-action protocol stage, which did not result in an application being lodged, in which the Trust was not formally named as a defendant, but required input from Trust's legal services/external solicitor, due to the duties performed on behalf of particular Integrated Care Board, in each financial year between 2015/2016 and 2023/2024 (please provide split by Integrated Care Board);**
13. **Number of High Court (judicial reviews) proceedings in which the Trust was made a party to in each financial year between 2015/2016 and 2023/2024;**

14. **Number of High Court (judicial reviews) proceedings in which the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
15. **Number of High Court (judicial reviews) proceedings in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
16. **Total cost of external legal representation in High Court (judicial reviews) proceedings in each financial year between 2015/2016 and 2023/2024**
17. **What is the governance related to the performance of the duties related to any High Court proceedings (judicial reviews), including at the pre-proceedings stage (pre-action protocol stage) within the Trust? Does the Trust have any written policy/protocol/Standard Operating Procedure outlining how to manage above cases (please provide the most up-to-date copy)? What is the system of analysing the issues raised and learning from this type of cases?**

**Employment law proceedings:**

18. **Number of employment law related at the pre-action stage, which did not result in an application being lodged, in which the Trust was named as a defendant in each financial year between 2015/2016 and 2023/2024;**
19. **Number of employment law related at the pre-action stage, which did not result in an application being lodged, in which the Trust was named as a defendant in each financial year between 2015/2016 and 2023/2024, by split by the type of claim (issue giving raise to the claim) made by the applicant.**
20. **Number of employment law related settles by the Trust, in each financial year between 2015/2016 and 2023/2024, with the clearly stated (separately) total amount of damaged agreed/paid and total cost of any legal advice/representation instructed/obtained by the Trust;**
21. **Number of employment law related cases lodged with the Employment Tribunal, in which the Trust was named as a defendant, in each financial year between 2015/2016 and 2023/2024;**
22. **Number of employment law related cases in employment tribunal (and upper tribunal) in which the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
23. **Number of employment law related cases in employment tribunal (and upper tribunal) in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
24. **Total cost of external legal representation in employment law related cases in employment tribunal (and upper tribunal) accrued in each financial year between 2015/2016 and 2023/2024.**
25. **Total cost of damages awarded by the employment tribunal in relation to civil employment law related claims in each financial year between 2015/2016 and 2023/2024**
26. **What is the governance related to the performance of the duties related to any claims, including at the pre-proceedings stage, related to employment law matters**

**within the Trust? Does the Trust have any written policy/protocol/Standard Operating Procedure outlining how to manage above cases (please provide the most up-to-date copy)? What is the system of analysing the issues raised and learning from this type of cases?**

**Civil claims:**

- 27. Number of civil claims (non-employment law related) at the pre-action stage, which did not result in an application being lodged, in which the Trust was named as a defendant in each financial year between 2015/2016 and 2023/2024;**
- 28. Number of civil claims (non-employment law related) at the pre-action stage, which did not result in an application being lodged, in which the Trust was named as a defendant in each financial year between 2015/2016 and 2023/2024, with split by the type of claim (using the NHS Resolutions categorisation) and cause of identified;**
- 29. Number of civil claims (non-employment law related) settled by the Trust, in each financial year between 2015/2016 and 2023/2024, with the clearly stated (separately) total amount of damages agreed/paid and total cost of any legal advice/representation instructed/obtained by the Trust;**
- 30. Number of civil claims (non-employment law related) lodged with the courts, in which the Trust was named as a defendant, in each financial year between 2015/2016 and 2023/2024;**
- 31. Number of civil claims (non-employment law related) lodged with the courts, in which the Trust was named as a defendant, in which the Trust acted via its in-house legal team in each financial year between 2015/2016 and 2023/2024**
- 32. Number of civil claims (non-employment law related) lodged with the courts, in which the Trust was named as a defendant, in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024**
- 33. Total cost of external legal representation in civil claims (non-employment law related) in civil courts accrued in each financial year between 2015/2016 and 2023/2024**
- 34. Total cost of damages awarded by the court in relation to civil claims (non-employment law related) in each financial year between 2015/2016 and 2023/2024**
- 35. What is the governance related to the performance of the duties related to any claims (non-employment law related), including at the pre-proceedings stage, within the Trust? Does the Trust have any written policy/protocol/Standard Operating Procedure outlining how to manage above cases (please provide the most up-to-date copy)? What is the system of analysing the issues raised and learning from this type of cases?**

**Contracts:**

36. Who provides legal advice and support, including drafting, reviewing and assessment of legal risks, regarding to any current and future contracts the Trust enters?
37. How does this function fit into the wider in-house legal services and the related governance framework?
38. Does the Trust entered any contracts/arrangements with any Local Authorities, pursuant to s76 National Health Service Act 2006? If yes, please provide details of the Local Authorities which the Trust has contract with.
39. If the Trust entered contracts mentioned in par 42, please elaborate what are the governance and operational arrangements related to the provision of legal advice and guidance to the staff, regarding cases where the Trust delivers duties on behalf of the Local Authority?
40. If the Trust entered contracts mentioned in par 42, please provide the copy of such contracts.
41. Does the Trust entered any contracts/arrangements with any Integrated Care Board, related to the delivery of ICB's functions regarding Continuing Healthcare (CHC) Framework? If yes, please provide details of the ICBs which the Trust has contract with.
42. If the Trust entered contracts mentioned in par 45, please elaborate what are the governance and operational arrangements related to the provision of legal advice and guidance to the staff, regarding cases where the Trust delivers functions related to CHC Framework?
43. If the Trust entered contracts mentioned in par 45, please provide the copy of such contracts.
44. Does the Trust entered any contracts/arrangements with any Integrated Care Board, related to the delivery of ICB's functions regarding s117 Mental Health Act 1983 (aftercare duty)? If yes, please provide details of the ICBs which the Trust has contract with.
45. If the Trust entered contracts mentioned in par 48, please elaborate what are the governance and operational arrangements related to the provision of legal advice and guidance to the staff, regarding cases where the Trust delivers functions related to aftercare duty?
46. If the Trust entered contracts mentioned in par 48, please provide the copy of such contracts.

**Mental Health Act related:**

47. What are the governance arrangements related to the performance by the Trust its duties under the Mental Health Act?
48. Who is the lead responsible for the overall governance arrangements related to the Mental Health Act?
49. What is the relationship between the MHA lead and the in-house legal services?
50. Number of MH Tribunal proceedings in which the Trust was a party to (as a Responsible Authority), in each financial year between 2015/2016 and 2023/2024

51. Number of MHA related cases in which the Trust obtained legal advice from the external legal representative, in each financial year between 2015/2016 and 2023/2024, and the cost of above advice per year.
52. Number of MH Tribunal proceedings in which the in-house legal services were instructed to represent the Trust, in each financial year between 2015/2016 and 2023/2024
53. Number of MH Tribunal proceedings in which the Trust instructed external legal representatives in each financial year between 2015/2016 and 2023/2024

**SEND Cases:**

54. Number of SEND Tribunal cases, in which the Trust was ordered to provide evidence, in each financial year between 2015/2016 and 2023/2024
55. What is the governance framework and internal policy related to the provision of evidence for the purpose of SEND Tribunal proceedings?

**Family law proceedings:**

56. Number of family law cases (private and public), in which the Trust was ordered to provide written witness statement, in each financial year between 2015/2016 and 2023/2024.
57. What is the governance framework and internal policy related to the provision of evidence for the purpose of both private and public family law proceedings?

**Other:**

58. Number of family law cases (private and public), within which the court issued third party disclosure order against the Trust, in each financial year between 2015/2016 and 2023/2024.
59. Number of Court of Protection related proceedings, within which the court issued third party disclosure order against the Trust, in each financial year between 2015/2016 and 2023/2024.
60. Number of immigration cases, within which the tribunal issued third party disclosure order against the Trust, in each financial year between 2015/2016 and 2023/2024.
61. Number of SEND cases, within which the tribunal issued third party disclosure order against the Trust, in each financial year between 2015/2016 and 2023/2024.
62. Number of criminal cases, within which the court ordered for the Trust to provide assessment of defendant's fitness to plea, in each financial year between 2015/2016 and 2023/2024.
63. Number of criminal cases, within which the court ordered for the Trust to provide assessment of defendant's fitness to stand trial and/or any adjustments required, in each financial year between 2015/2016 and 2023/2024.

**64. Number of other type of cases (not listed above) in which the Trust obtained external legal advice, in each financial year between 2015/2016 and 2023/2024, and their cost per financial year.**