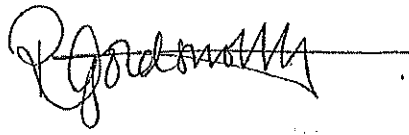


Title of Paper	NHS Provider Licence – Self Certification 2023/24					
Date of paper	5 April 2023					
Presentation to	Trust Board					
Item No.	9.2					
Author(s)	Sandra Glaister, Head of Corporate Assurance					
Executive Sponsor	Rachel Goldsworthy, Chief of Staff					
Executive Summary	The Trust is required to comply with all relevant conditions of the Provider Licence issued by NHSE on 1 April 2023. This paper provides a self-assessment of compliance with the relevant conditions of the licence and a declaration of compliance signed by the Chair and the Chief Executive Officer (CEO).					
Action Required	For decision?		For assurance?	Y		
Summary of Recommendations	The Board is asked to: <ul style="list-style-type: none"> Receive assurance that the Trust complies with the relevant conditions of the Provider Licence. 					
Statement on impact on inequalities	Positive impact (inc. details below)		Negative Impact (inc. details below)	No impact (neutral) X		
Positive / negative inequalities	This paper provides assurance that existing arrangements within the Trust comply with the relevant conditions of the Provider Licence. As no changes will be instigated by this paper the impact is neutral.					
Previously considered at	The Remuneration and Nominations Committee reviewed the self-assessment at the meeting of 24 March 2023.					
Strategic Priority this paper relates to	Great Care		Great Place to Work		Great Value for Money	
	1. Safe effective services		8. Looking after our people		12. Digital transformation	
	2. Alongside Communities		9. Belonging to the NHS		13. A greener NHS	
	3. Outcomes that matter		10. New ways of working		14. Supportive Environments	
	4. Life-course approach		11. Growing for the future		15. Partnership and added value	
	5. One health and care team					
	6. Research and innovation					
	7. Clinical and professional leadership					

For presentation to Board and its Committees: - To be completed by Exec Sponsor

Level of Assurance (tick one)	Significant	<input type="checkbox"/>	Sufficient	<input type="checkbox"/>	Limited	<input type="checkbox"/>	None	<input type="checkbox"/>
Assurance Level	Concerning the overall level of assurance the Board is asked to consider whether this paper provides: Significant, sufficient, limited or no assurance and, whether any additional reporting/ oversight is required the Board							
Executive Sponsor Signature	 Rachel Goldsworthy, Chief of Staff							

NHS Provider Licence – Self Certification 2023/24

The NHS provider licence was first introduced in 2013, the licence forms part of the oversight arrangements for NHS providers, serves as the legal mechanism for regulatory intervention, and underpins mandated support for challenged providers. NHSE published a revised version of the licence on 1 April 2023 to reflect current statutory and policy requirements and support providers to work effectively as part of integrated care systems.

Prior to publication of the new licence, only FT's were required to declare compliance with all Licence conditions. All trusts are now required to comply with the conditions of the licence; the first annual declaration for the new licence forms part of this report. Appendix 1 shows the full licence and assurance that the Trust complies with each relevant condition.

As recommended by NHSE, we previously reported compliance 'in shadow' with a limited number of licence conditions in every Board Performance Report; annually the CEO and Chair signed a self-declaration of compliance that was retained internally.

As agreed at the Remuneration and Nominations Committee on 24 March, compliance with the new licence will now only be included with the Board Performance Report every six months; It will be included next in the December 2023 Report to the In Public Board. We will continue to make an annual declaration as follows;

Annual Declaration

The following is the annual declaration of the Trust's compliance with the Provider Licence, signed by the Chair and CEO on behalf of the Board, it will be published on our website.

Signed by:



Mike Watts, Chair

Date: 5 June 2023

Signed by:



Andrew Strevens, CEO

Date: 5 June 2023

Licence number: 400051